

04-15-02  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re: Adrian Filipi-Martin et al

Serial No.: 09/993,724

Filed: 11/14/2001

For: COMPUTERIZED GLOBAL  
MESSAGING ENCRYPTION SYSTEM  
AND METHOD



Group Art Unit: 2131

Docket No.: 032121.00006 (CHM01)

Commissioner for Patents  
Initial Patent Examination Division  
Washington, D.C. 20231

Dear Sir:

**TRANSMITTAL LETTER**

Please find the following correspondence items enclosed for filing in the United States Patent and Trademark Office:

1. Copy of Notice to File Missing Parts of NonProvisional Application;
2. Response to Notice to File Missing Parts;
3. Petition for Extension of Time Under 37 C.F.R. 1.136(a) authorized from deposit account;
4. Declaration for Patent Applications and Power of Attorney;
5. Fee Transmittal for FY 2002, in duplicate; and
6. Return receipt postcard.

Please charge the fee of \$210.00 (\$265.00 less \$55.00 previously submitted) to Deposit Account No. 502079. A copy of this letter is enclosed.

Respectfully submitted,

Douglas W. Kim  
McNAIR LAW FIRM, P.A.  
Registration No. 44,828  
P.O. Box 10827  
Greenville, S.C. 29603-0827  
Telephone: (864) 232-4261  
Attorney for the Applicant

I hereby certify that this correspondence is being deposited with the United States Postal Service as "EXPRESS MAIL" mailing label EV118825434US with sufficient postage and mailing label affixed thereto, in an envelope addressed to: Commissioner for Patents, Initial Patent Examination Division, Washington, D.C., 20231 on the date indicated below.

By: Debra M. Freund  
Date: 4-12-02

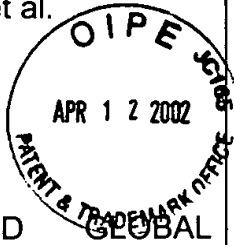
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Internal Patent Examination Division  
Washington, D.C. 20231

Dear Sir:

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

This response is to the Notice to File Missing Parts submitted to the Applicant and mailed December 13, 2001. The Notice to File Missing Parts requested that an Oath and Declaration be signed and returned to the Patent Office for inclusion in the pending application. The applicant was given a statutory shortened period of two months to reply.

On February 13, 2002, the applicant submitted a request pursuant to 37 C.F.R. § 1.17(a)(1); 1.27; and 1.136(a) for a one month extension and supplied the requisite fee of \$55.00 to be drafted from deposit account 502079.

Enclosed herein, please find the signed Oath and Declaration from Mr. Filipi-Martin and Mr. King and a request to draft \$145 for a two-month extension (\$200 fees for small entity pursuant to 37 C.F.R. § 1.17(a)(2) less the \$55.00 previously submitted). Copies of relevant correspondence are attached.

Additionally, please find a request for payment from our deposit account of \$65.00 pursuant to 37 C.F.R. § 1.53.

Based upon the information, the Applicant requests that the application proceed through the normal course of business of the United States Patent and Trademark Office.

A handwritten signature in black ink, appearing to read "Douglas W. Kim".

Douglas W. Kim  
McNAIR LAW FIRM, P.A.  
Reg. No. 44,828  
Post Office Box 10827  
Greenville, SC 29603  
Telephone: 864-232-4261  
Attorney for the Applicant

APR 12 2002

PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 210.00

## Complete if Known

Application Number	09/993,724
Filing Date	11/14/2001
First Named Inventor	Adrian Filipi-Martin et al.
Examiner Name	
Group Art Unit	2131
Attorney Docket No.	032121.00006(CHM01)

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **502079**  
Deposit Account Name **McNair Law Firm, P. A.**

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☒ Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$ )

## 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	
Multiple Dependent	-3** =	X	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ )

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	65.00
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	200.00
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	
Other fee (specify) <u>Credit - previously paid</u>			55.00
*Reduced by Basic Filing Fee Paid			
SUBTOTAL (3)			(\$ 210.00

## SUBMITTED BY

Name (Print/Type) **Douglas W. Kim, Esq.**Registration No. **44,828**  
(Attorney Agent)

## Complete (if applicable)

Telephone **864-232-4261**

Signature

Date **04/12/2001**

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/993,724	11/14/2001	Adrian Filipi-Martin	CHM01

CONFIRMATION NO. 9442

FORMALITIES LETTER



\*OC00000007190744\*

FLINT & KIM, P.A.  
Douglas W. Kim  
P.O. Box 10827  
Greenville, SC 29601

COPY

Date Mailed: 12/13/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/17/2002 SDIRETA1 00000026 502079 09993724

01 FC:205 65.00 CH